Politically Exposed Persons Risk Assessment

To be completed by the fee earner when a PEP has been identified to ascertain what the level of risk is and what standard of EDD is required.

TEWP Matter Reference
Name of Politically Exposed Person:
Where is the PEP currently based? In the United Kingdom or elsewhere? If elsewhere, please specify the country
What do they do for a living?
Have they been entrusted with a "prominent public function"? YES / NO Answer "YES" if now or in the last 12 months they have held any of these roles, in any country: *member of parliament, member of the governing body of a political party, appeal court judge, member of the court of auditors or the board of a central bank, diplomat or high-ranking officer in the armed forces, member of the administrative, management or supervisory body of a state-owned enterprise, senior officer or director of an international organisation, head of state, head of government, minister or deputy or assistant minister*. If "YES" please give details: If yes, have they had this role in the UK or elsewhere? If elsewhere, please specify the country:

Outcome (*to be completed by the firm)*

Lower risk PEP ☐
Standard of EDD to be applied:
Reasoning.....
.....

Higher risk PEP ☐
Standard of EDD to be applied:
Reasoning.....
.....

Signed ..... Dated .....
Fee earner

Signed ..... Dated .....
MLRO/MLCO (or Deputy)